

5.12 1115 Plenary Chaduteau

Current run (last updated May 17, 2016 1:17am)

6

Polls

50

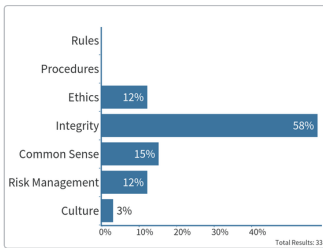
Participants

38

Average responses

What is the first word that comes to mind when talking about compliance? According to Chief

Compliance Officer:

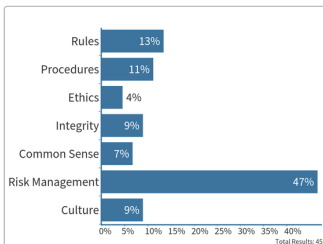


Response options	Count	Percentage
Rules	0	0%
Procedures	0	0%
Ethics	4	12%
Integrity	19	58%
Common Sense	5	15%
Risk Management	4	12%
Culture	1	3%

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Responses

What is the first word that comes to mind when talking about compliance? According to Chief

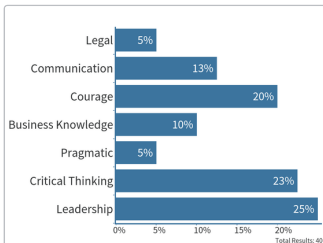
Executive Officer:



Response options	Count	Percentage
Rules	6	13%
Procedures	5	11%
Ethics	2	4%
Integrity	4	9%
Common Sense	3	7%
Risk Management	21	47%
Culture	4	9%

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Responses

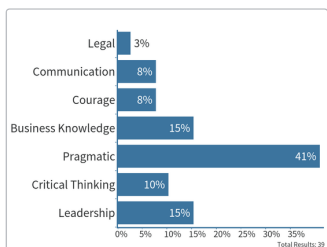
What is the most important skill for a CCO? According to Chief Compliance Officer:



Response options	Count	Percentage
Legal	2	5%
Communication	5	13%
Courage	8	20%
Business Knowledge	4	10%
Pragmatic	2	5%
Critical Thinking	9	23%
Leadership	10	25%

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Responses

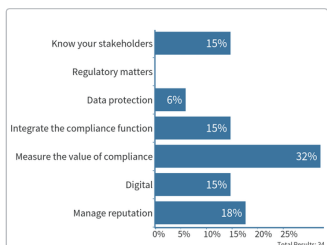
What is the most important skill for a CCO? According to Chief Executive Officer:



Response options	Count	Percentage
Legal	1	3%
Communication	3	8%
Courage	3	8%
Business Knowledge	6	15%
Pragmatic	16	41%
Critical Thinking	4	10%
Leadership	6	15%

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Responses

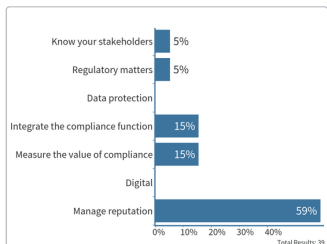
What is the first challenge for Compliance in the 5 coming years? According to Chief Compliance Officer:



Response options	Count	Percentage
Know your stakeholders	5	15%
Regulatory matters	0	0%
Data protection	2	6%
Integrate the compliance function	5	15%
Measure the value of compliance	11	32%
Digital	5	15%
Manage reputation	6	18%

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Responses

What is the first challenge for Compliance in the 5 coming years? According to Chief Executive Officer:



Response options	Count	Percentage
Know your stakeholders	2	5%
Regulatory matters	2	5%
Data protection	0	0%
Integrate the compliance function	6	15%
Measure the value of compliance	6	15%
Digital	0	0%
Manage reputation	23	59%

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Responses